



**MANAGEMENT PLAN FOR THE NEXT GENERATION 9-1-1
GRANT PROGRAM
SUBMITTED BY
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION
AND
NATIONAL TELECOMMUNICATIONS AND INFORMATION
ADMINISTRATION**

1. Purpose

The Next Generation 9-1-1 Advancement Act of 2012 (NG 9-1-1 Advancement Act) amended section 158 of the National Telecommunications and Information Administration (NTIA) Organization Act, 47 U.S.C. § 942, to direct the Administrator of the National Highway Traffic Safety Administration (NHTSA Administrator) and the Assistant Secretary for Communications and Information and NTIA Administrator (NTIA Assistant Secretary) to establish the NG 9-1-1 Grant Program.¹ The Act also required the NHTSA Administrator and the NTIA Assistant Secretary to develop and submit to Congress a plan for the management of the program.

The NG 9-1-1 Advancement Act authorizes NHTSA and NTIA to jointly administer a new matching grant program to provide financial assistance to eligible entities for: (1) the implementation and operation of 9-1-1 services, Enhanced 9-1-1 (E9-1-1) services, migration to an IP-enabled emergency network, and adoption and operation of NG 9-1-1 services and applications; (2) the implementation of IP-enabled emergency services and applications enabled by NG 9-1-1 services, including the establishment of IP backbone networks and the application layer software infrastructure needed to interconnect the multitude of emergency response organizations; and (3) training public safety personnel, including call takers, first responders, and other individuals and organizations who are part of the emergency response chain in the 9-1-1 services.

This management plan between NHTSA and NTIA facilitates coordination and communication between Federal, state, and local emergency communications systems; emergency personnel; public safety organizations; telecommunications carriers; and telecommunications equipment manufacturers and vendors involved in the implementation of NG 9-1-1 services for the duration of the grant program.

¹ NG 9-1-1 Advancement Act, Title VI, Subtitle E of the Middle Class Tax Relief and Job Creation Act of 2012 (Tax Relief Act), Public Law No. 112-96, 126 Stat. 156, 237 (Feb. 22, 2012).

2. Plan

NHTSA and NTIA will engage in a cooperative effort to improve coordination and communication among 911 stakeholders by: 1) advising and assisting eligible entities in the preparation of grant implementation plans; 2) receiving and recommending the approval or disapproval of grant applications; and 3) overseeing the use of grant funds. This management plan describes activities related to the organizational structure of the grant program and funding profiles for each fiscal year of the program.

3. Organizational Structure

- A. In coordination with NTIA and consistent with the requirements of the NG 9-1-1 Advancement Act as well as Federal administrative requirements for grants and cooperative agreements, NHTSA shall:
1. Develop policies, procedures, and regulations to govern the application, award, and administration of grants;
 2. Publicize the availability of grant opportunities;
 3. Based on the published regulations, identify qualifying applicants for award, subject to approval by the NHTSA Administrator and the NTIA Assistant Secretary;
 4. After approval by the NHTSA Administrator and the NTIA Assistant Secretary, timely make awards to grantees;
 5. Establish and maintain the IT infrastructure for grant administration and oversight;
 6. Provide technical assistance to grant recipients, as necessary, concerning the requirements of the grant program;
 7. Administer the grant program, including payment, in accordance with applicable Federal grant rules, procedures, and cost provisions; and
 8. Monitor technical and financial performance of grantees and collect financial and technical reports required under the grant regulations.
- B. In coordination with NHTSA and consistent with the requirements of the NG 9-1-1 Advancement Act as well as Federal administrative requirements for grants and cooperative agreements, NTIA shall:
1. Assist in the development of policies, procedures, and regulations to govern the application, award, and administration of the grants;
 2. Assist in publicizing the availability of grant opportunities; and
 3. Provide timely transfer of funds to NHTSA for the administration costs of the program and the grant awards; and
 4. Provide General Schedule staff positions to perform a variety of administrative tasks with NHTSA during the planning, application, award, and administration of the grants.

- C. The NHTSA Administrator and the NTIA Assistant Secretary shall jointly issue the regulations and approve and announce grant award recipients.

4. Funding NG 9-1-1 Grant Program

The Tax Relief Act made \$115 million available for the program from the Public Safety Trust Fund.² To carry out the tasks in this management plan, NHTSA and NTIA signed a Memorandum of Understanding (MOU) in September 2016 setting forth each party's roles and responsibilities. The MOU provided for the transfer of \$112 million to NHTSA of which \$109,250,000 will be available for grants.³

The MOU also established a budget for how each agency will utilize its portion of the 5 percent administrative cap specified in the NG 9-1-1 Advancement Act to cover the administrative costs of executing the management plan tasks. The budget is as follows:

(\$ thousands)	FY17	FY18	FY19	FY20	FY 21	TOTAL
Labor/Agreements	\$ 317	\$ 327	\$ 336	\$ 346	\$ 357	\$ 1,683
Travel	\$ 9	\$ 9	\$ 10	\$ 10	\$ 10	\$ 47
Op. Expenses (e.g., rent, supplies, misc.)	\$ 35	\$ 36	\$ 37	\$ 38	\$ 39	\$ 186
DOC/Agencies Charges	\$ 157	\$ 162	\$ 167	\$ 172	\$ 177	\$ 834
NTIA TOTAL ADMIN COSTS	\$ 518	\$ 533	\$ 550	\$ 566	\$ 583	\$ 2,750
Grants Office/Grants Management System	\$ 715	\$ 475	\$ 230	\$ 175	\$ 150	\$ 1,745
Contractor Support	\$ 350	\$ 150	\$ 150	\$ 150	\$ 200	\$ 1,000
Travel	\$ 9	\$ 9	\$ 10	\$ 10	\$ 10	\$ 47
Misc.	\$ 39	\$ 40	\$ 41	\$ 42	\$ 46	\$ 208
NHTSA TOTAL ADMIN COSTS	\$ 1,113	\$ 674	\$ 431	\$ 377	\$ 406	\$ 3,000
TOTAL ADMINISTRATIVE COSTS						\$ 5,750

5. Timeline for the NG 9-1-1 Grant Program

By statute, NHTSA and NTIA are required to issue regulations prescribing the grant criteria and develop program rules through notice and comment rulemaking. Accordingly, they will issue a Notice of Proposed Rulemaking (NPRM), provide a reasonable public comment period, and issue a Final Rule that takes into consideration any public comments received. NHTSA and NTIA anticipate the regulatory activities may take as long as one year to complete, but understand that a delay in any of the required activities could result in a delay in the overall process.

NHTSA and NTIA are currently working to draft the NPRM with a publication goal of summer 2017. NHTSA and NTIA plan to publish the final regulations early in Fiscal Year 2018. The agencies expect the Final Rule to provide a reasonable period of time for eligible entities to then apply for the grants with a goal of making awards during Fiscal Year 2018.

² See 47 U.S.C. § 1457. In April 2016, the Federal Communications Commission made its third deposit of spectrum auction proceeds into the Public Safety Trust Fund, which then provided sufficient funds for the NG 9-1-1 Grant Program. NTIA and NHTSA received approval for their apportionment requests from the Office of Management Budget at the end of fiscal year 2016.

³ Please note that this figure may be reduced because the program is subject to the Budget Control Act of 2011 and the Bipartisan Budget Act of 2013, which impose certain budget limits and sequestration caps on the program.

6. Conclusion

NHTSA and NTIA are committed to ensuring that our Nation's public safety answering points have the necessary technology to enable a smooth and seamless transition to NG9-1-1 service. NHTSA and NTIA look forward to collaborating with our stakeholders on this very important matter.